



QTSO MEMORANDUM

MEMO

NUMBER: 2010-173

TO: QIES State Coordinators

CC: CMS Central and Regional Office Contacts

FROM: Jean Eby, QIES Technical Support Office

DATE: October 22, 2010

SUBJECT: Resolved: MDS 3.0 Data in the ASAP (Assessment Submission and Processing) System Issue – October 14, 2010

The ASAP (Assessment Submission and Processing) System issue reported in QTSO Memo #2010-170 (dated October 15, 2010) has been resolved.

Processing of MDS 3.0 submission files in the Assessment Submission and Processing (ASAP) System has been restarted. This memo contains information related to the system restart and MDS 3.0 data reprocessing.

Deleted Records

Prior to restarting the record processing, all assessment, submission and submission error data associated with the MDS 3.0 records that were processed *before* the cessation of record processing on Thursday, October 14th have been deleted.

Reprocessed Records

Submission files with Submission IDs from 1 through 33,291 were reprocessed on Thursday, October 21st. These submissions will receive new MDS 3.0 Final Validation Reports.

The accepted assessments in these reprocessed submission files will be transferred to the QIES Reporting database, where they will be accessible in the CASPER Reports and MDS 3.0 Viewer, as well as QIES Workbench (QW) after the refresh on October 24, 2010.

The reprocessed nursing home records that are accepted are in the State Assessment Extract file dated 10/22/2010. Please notify your State Assessment Extract File users that these assessments have been reprocessed. Please disregard any previous daily state assessment extract files.

MDS Final Validation Reports

The new MDS 3.0 Final Validation Reports will be available to the facilities in their shared facility Final Validation Report folders in CASPER. The names of these folders are as follows:

- Nursing home shared folders: *state_cd LTC fac_id VR*, where:
 - *state_cd* is the two-character state abbreviation.
 - *LTC* indicates that the provider is a long-term care facility.

- fac_id is the Facility ID used for submitting the MDS 2.0 and MDS 3.0 records.
- VR is a reference for Validation Report.
- Swing bed shared folders: *state_cd SB fac_id VR*, where:
 - state_cd is the two-character state abbreviation.
 - SB indicates that the provider is a swing bed unit.
 - fac_id is the Facility ID used for submitting the MDS 2.0 and MDS 3.0 records.
 - VR is a reference for Validation Report.

Please have your providers read and use the revised Final Validation Reports. The revised Final Validation Reports will have a Processing Completion Date of 10/21/2010 or later.

The reprocessing insures that the Medicare and Medicaid RUG groups have been correctly calculated and that all appropriate calculated RUG items have been stored in the database.

Record Identification Documents

There are referencing documents associated to this memo that will assist you in identifying the records that have been reprocessed.

NOTE: Due to the size of these documents, they cannot be sent via e-mail with this memo. You may access the files listed below on the state-secured page of the QTSO website (www.qtso.com).

MDS 3.0 Submission Files Reprocessed on 10/21/2010

The ProcessedSubmissionList20101021.pdf file contains a list of the submission files that were reprocessed. This document includes the following elements:

- Submission ID
- Submission Date and Time
- Submitter User ID
- Submission File Name (name of Zip file)
- Number of XML Records in Zip File

MDS 3.0 Accepted Assessments Reprocessed on 10/21/2010

These files contain information regarding the accepted assessments that were reprocessed on 10/21/2010. These files are divided by state. States should select the file that corresponds with their state code. Listed below are the file names:

- acpt_reprocessed_AL-DC.pdf
- acpt_reprocessed_DE-ID.pdf
- acpt_reprocessed_IL-KY.pdf
- acpt_reprocessed_LA-MI.pdf
- acpt_reprocessed_MN-MS.pdf
- acpt_reprocessed_MT-NY.pdf
- acpt_reprocessed_OH-OR.pdf
- acpt_reprocessed_PA-TN.pdf

- acpt_reprocessed_TX-VT.pdf
- acpt_reprocessed_WA-WY.pdf

The nursing home records were included in a state assessment extract file. Since these records have been reprocessed and information on the record may have changed, users of the state assessment extract file will need to delete the nursing home assessments listed in the attachment from their system and use the reprocessed records instead.

The documents include the following elements:

- State CD
- Submission ID
- Assessment ID
- Nursing home records include:
 - State Assessment Extract File Number Original Processing
 - State Assessment Extract File Name Original Processing
- Swing bed records are marked as Swing Bed.

Note: Records from swing bed units are not included in the state assessment extract file.

MDS 3.0 Assessments Rejected and Reprocessed

These files contain information regarding the rejected assessments that were reprocessed on 10/21/2010. These records were rejected due to edit failure, not well-formed XML file, or unable to determine the provider of the record. Due to the revisions to the RUG logic, some assessments that were rejected originally due to edit failure may be accepted during reprocessing.

These files are divided by state. States should select the file that corresponds with their state code. Listed below are the file names:

- rjctd_reprocessed_AK-IN.pdf
- rjctd_reprocessed_KS-NY.pdf
- rjctd_reprocessed_OH-WY.pdf

Any nursing home assessments that were accepted during reprocessing will be in the State Assessment Extract file dated 10/22/2010.

This document contains the following elements:

- State CD
- Submission ID
- Assessment ID
- Assessment Status

The Assessment Status from Original Processing column contains the following status indicators:

- Rejected (production record that was rejected)
- Test – Passed (Test record passed edits)
- Test – Failed (Test record failed edits)
- Invalid Record

Invalid records are records that the system could not process due to a defect. Errors for these records only occur on the MDS 3.0 Submitter Validation Report. Some examples of invalid records are:

- The records were not XML files (Word document, .JPEG, etc.).
- Records that were XML files, but the files were not well formed.

Note: Even if a test record passes the system edits, the data from the record is not saved to the national repository.

RUG III Grouper Issues

A new conversion RUG III DLL will be posted on the CMS website soon. The changes included with this DLL are:

- RUG-III MDS 3.0 Mapping Specifications – these specifications have been revised
- RUG-III MDS 3.0 Version Converter DLL – this DLL has been revised
- RUG-III STRIVE Nursing CMI (V1.00) – no changes
- RUG-III Errata Document - no changes

RUG-IV Grouper Issues

The updated RUG-IV Specifications Version 1.00.9 and DLL are available on the CMS website:

http://www.cms.gov/NursingHomeQualityInits/30_NHQIMDS30TechnicalInformation.asp#TopOfPage.

Note: Providers using the jRAVEN software should check the CMS website for the version that contains the updated DLL. It is recommended that providers continue to check for software updates on a regular basis.

If you have any questions concerning this information, please contact the QTSO Help Desk at help@qtso.com or 1 (888) 477-7876.